



# Implementing & Sustaining Practice Change Following Research Outcomes

Melanie Barwick, PhD, C Psych



4<sup>th</sup> International Family Integrated Care Conference

***Moving Forward with FICare: Sustainable Implementation***

Thursday October 14<sup>th</sup> & Friday October 15<sup>th</sup>, 2021 | Virtual

# Medicine will advance more in the next 10 years than it did in the last 100

Vivek Wadhwa  
Carnegie Mellon University  
Centre for Entrepreneurship & Research Commercialization, Duke University


<https://singularityhub.com/2016/10/26/medicine-will-advance-more-in-the-next-10-years-than-it-did-in-the-last-100/>





**Will your  
discoveries have  
impact?**



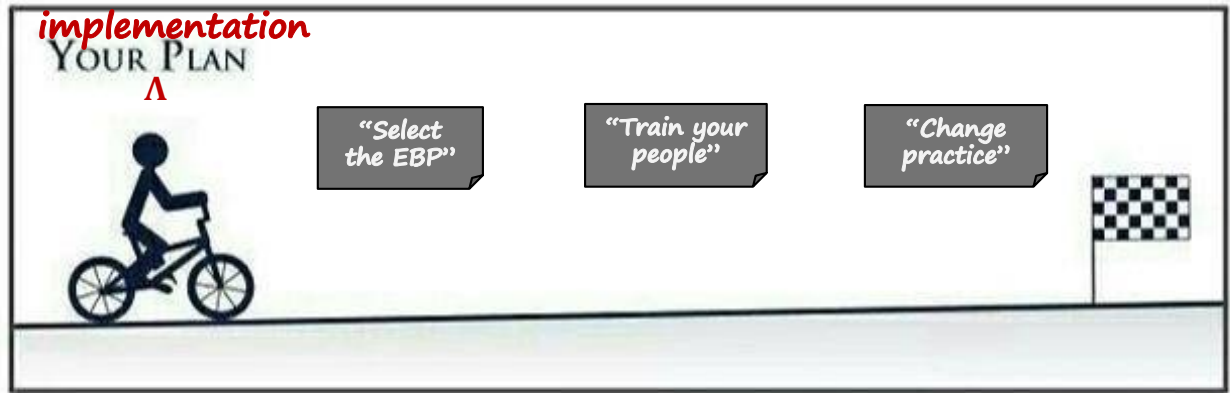


## 30-70% of health discoveries fail to have impact

Complex change initiatives often have moderate to poor success rates: 33% median success rate (much lower in some sectors and for some initiatives).

Helfrich et al. 2011; Smith, 2002







# The typical “Train and Hope” approach to practice change doesn’t work.

RESEARCH

Open Access



## The effects of a prolonged exposure workshop with and without consultation on provider and patient outcomes: a randomized implementation trial

Edna B. Foa<sup>1\*</sup>, Carmen P. McLean<sup>2,3</sup>, Lily A. Brown<sup>1</sup>, Yinyin Zang<sup>1,4</sup>, David Rosenfield<sup>5</sup>, Laurie J. Zandberg<sup>1</sup>, Wayne Ealey<sup>6</sup>, Brenda S. Hanson<sup>7,8</sup>, Lora Rose Hunter<sup>9</sup>, Ivett J. Lillard<sup>9</sup>, Thomas J. Patterson<sup>6,10</sup>, Julio Rosado<sup>11</sup>, Valerie Scott<sup>6,12</sup>, Charles Weber<sup>3</sup>, Joseph E. Wise<sup>9</sup>, Charles D. Zamora<sup>3</sup>, Jim Mintz<sup>14</sup>, Stacey Young-McCaughan<sup>14</sup>, Alan L. Peterson<sup>14,15,16</sup> and for the STRONG STAR Consortium



### NIH Public Access

#### Author Manuscript

*Clin Psychol Rev.* Author manuscript, available in PMC 2011 June 1.

Published in final edited form as:  
*Clin Psychol Rev.* 2010 June ; 30(4): 448–466. doi:10.1016/j.cpr.2010.02.005.

### The Role of Therapist Training in the Implementation of Psychosocial Treatments: A Review and Critique with Recommendations

Amy D. Herschell<sup>3</sup>, David J. Kolko<sup>3</sup>, Barbara L. Baumann<sup>3</sup>, and Abigail C. Davis<sup>3</sup>

Amy D. Herschell: [HerschellAD@upmc.edu](mailto:HerschellAD@upmc.edu); David J. Kolko: [KolkoDJ@upmc.edu](mailto:KolkoDJ@upmc.edu); Barbara L. Baumann: [BaumannBL@upmc.edu](mailto:BaumannBL@upmc.edu); Abigail C. Davis: [abbiedavis18@hotmail.com](mailto:abbiedavis18@hotmail.com)

<sup>3</sup>Western Psychiatric Institute and Clinic, University of Pittsburgh School of Medicine, 3811 O'Hara Street, Pittsburgh, PA 15213

<sup>b</sup>Department of Psychology, Carnegie Mellon University, Baker Hall 342c, 5000 Forbes Avenue, Pittsburgh, PA 15213

SYSTEMATIC REVIEW

Open Access



## Effectiveness of training methods for delivery of evidence-based psychotherapies: a systematic review

Helen Valenstein-Mah<sup>1\*</sup>, Nancy Grier<sup>2,3</sup>, Lauren McKenzie<sup>2,3</sup>, Lucas Hansen<sup>1,4</sup>, Thad Q. Strom<sup>5</sup>, Shannon Wilsey Stirman<sup>6,7</sup>, Timothy J. Wilf<sup>2,3,8</sup> and Shannon M. Kehle-Forbes<sup>2,3,8,9</sup>



### NIH Public Access

#### Author Manuscript

*Psychiatr Serv.* Author manuscript, available in PMC 2013 July 01.

Published in final edited form as:  
*Psychiatr Serv.* 2012 July ; 63(7): 660–665. doi:10.1176/appi.ps.201100401.

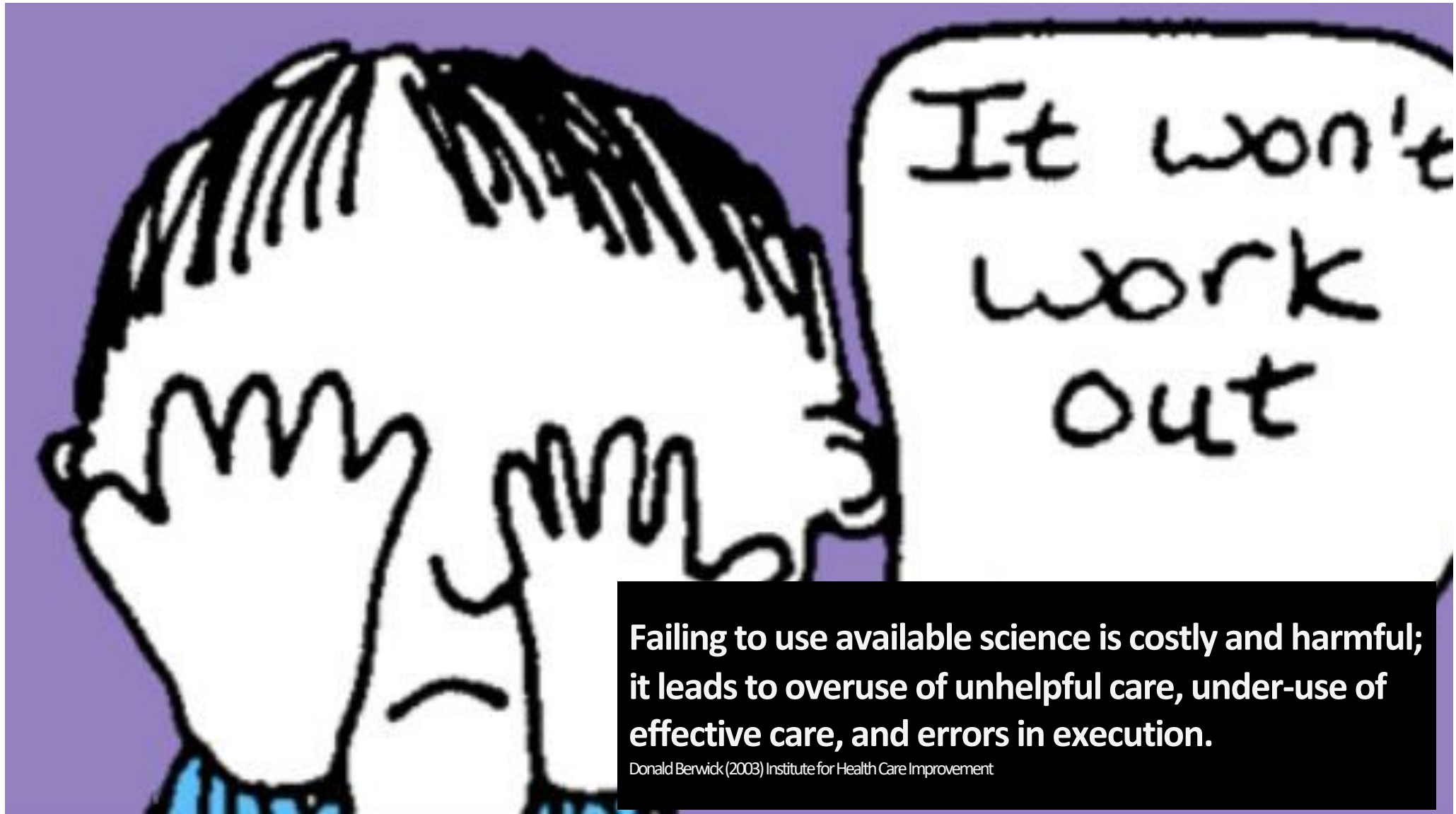
### Training and Consultation to Promote Implementation of an Empirically Supported Treatment: A Randomized Trial

Dr. Rinad S. Beidas, Ph.D.,  
Department of Psychiatry, University of Pennsylvania, 3535 Market St., Room 3015, Philadelphia, PA 19104

Ms. Julie M. Edmunds, M.A.,  
Department of Psychology, Temple University, Philadelphia

Dr. Steven C. Marcus, Ph.D., and  
Center for Health Equity Research and Promotion, Philadelphia Veterans Affairs Medical Center, and with the School of Social Policy and Practice, University of Pennsylvania, Philadelphia

Dr. Philip C. Kendall, Ph.D., A.B.P.P.,  
Department of Psychology, Temple University, Philadelphia



**Failing to use available science is costly and harmful; it leads to overuse of unhelpful care, under-use of effective care, and errors in execution.**

Donald Berwick (2003) Institute for Health Care Improvement

## Viewpoint

### Avoidable waste in the production and reporting of research evidence

Iain Chalmers, Paul Glasziou

Lancet 2009; 374: 86-89  
Published Online  
June 15, 2009  
DOI:10.1016/S0140-6736(09)60329-9

James Lind Library, James Lind Initiative, Oxford, UK (Iain Chalmers DSc); and Centre for Evidence-Based Medicine, Department of Primary Care, University of Oxford, Oxford, UK (Prof P Glasziou RACGP)

Correspondence to: Iain Chalmers, James Lind Library, James Lind Initiative, Remmertown Pavilion, Middle Way, Oxford OX2 7LG, UK (i.chalmers@jameslindlibrary.org)

Without accessible and usable reports, research cannot help patients and their clinicians. In a published Personal View,<sup>1</sup> a medical researcher with myeloma reflected on the way that the results of four randomised trials relevant to his condition had still not been published, years after preliminary findings had been presented in meeting abstracts:

“Research results should be easily accessible to people who need to make decisions about their own health... Why was I forced to make my decision knowing that information was somewhere but not available? Was the delay because the results were less exciting than expected? Or because in the evolving field of myeloma research there are now new exciting hypotheses (or drugs) to look at? How far can we tolerate the butterfly behaviour of researchers, moving on to the next flower well before the previous one has been fully exploited?”

This experience is not unusual: a recently updated systematic review of 79 follow-up studies of research reported in abstracts estimated the rate of publication of full reports after 9 years to be only 53%.

research involving patients have been disincentives for those who might otherwise become involved in research in treatment evaluation. In recent years, there has been recognition of the need to address both of these disincentives. In the Cooksey enquiry concluded that government funding for applied research should be increased,<sup>2</sup> the National Institute for Health Research (NIHR) responded rapidly to this policy (its funding for research on clinical trials will soon be £80 million a year).<sup>3</sup> In the UK, the Government currently before Congress calls for federal support for independent evaluations of treatments independent of industry. In Italy and Spain, independent research on the effectiveness of drugs is being supported with revenue from pharmaceutical company drug promotion.<sup>4</sup>

This increased investment in independent evaluation is laudable. Irrespective of who funds the research, this investment should be protected against an avoidable waste of inadequately produced and reported research. We examine the causes and consequences of waste occurring at four successive stages: the selection of research questions; the quality of research







## Why are we missing the target?



### AWARENESS

we don't do a good job of sharing what we know in ways people want and understand



### COMPREHENSION

when we do share, it's not with an intention to guide how users will benefit



### RELEVANCE

research does not always address the problems faced by decision-makers or knowledge users



### COMPLEXITY

behaviour change is difficult to achieve, time-consuming and expensive




### POOR TRANSLATION

we don't use implementation science to guide use of scientific discoveries




### READINESS

there is variable awareness, tension for change, and multiple barriers to change



**We often fail to be evidence-based in our approach to disseminating & applying the evidence**

*We don't use the science of implementation to guide the application of evidence*

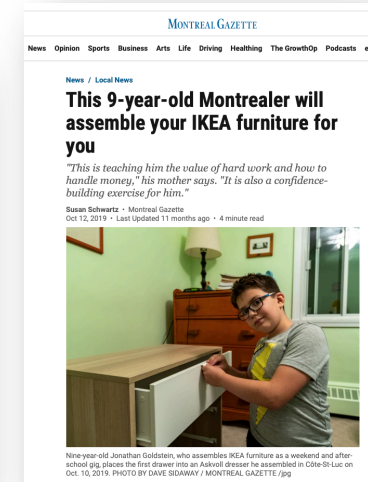
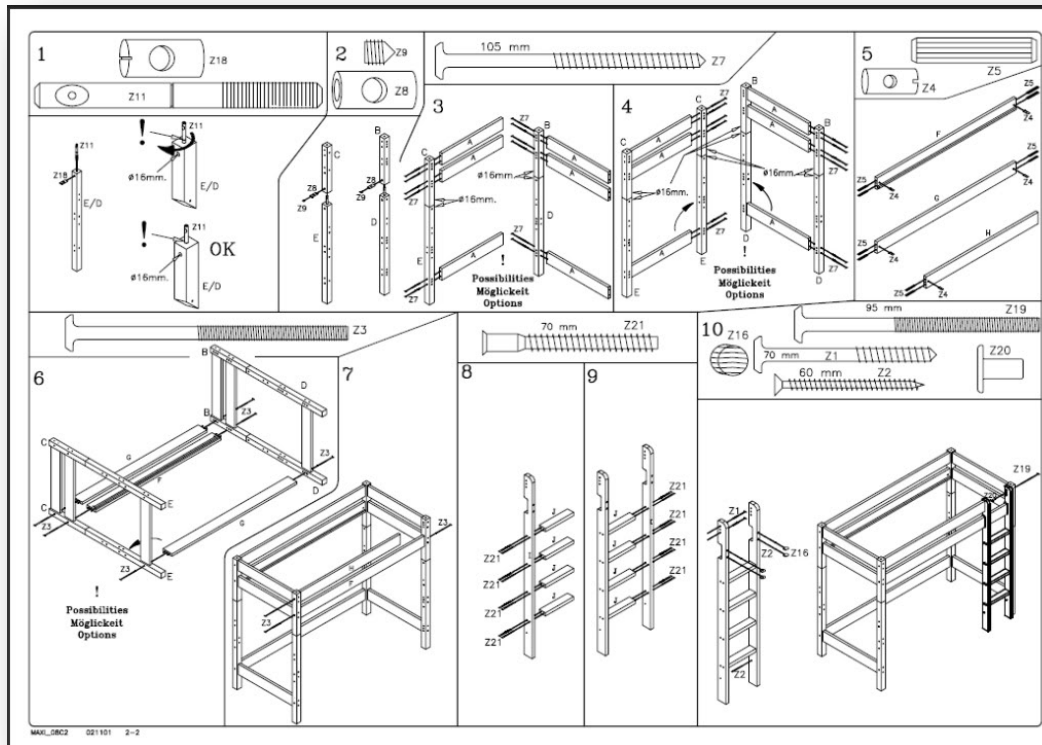


NOTHING  
IS MORE  
EXPENSIVE  
THAN A MISSED  
OPPORTUNITY.



**How will people  
know how to use or  
benefit from your  
intervention?**

# Is your intervention fully evidence-based? Consider IKEA



**Evidence-based practices are not fully *evidence-based* unless we can articulate and demonstrate *how they can be implemented*.**





## Implementation

The use of strategies to adopt and integrate evidence-based interventions and change practice within specific settings.

Informed by [Implementation Science](#), the scientific study of methods and strategies that facilitate the uptake of evidence-based practice and research into regular use.





# Implementation Science should inform Implementation Practice

## Implementation Science

A fundamental goal is to integrate research and practice experience in ways that improve the outcomes of those being served.

For this to happen, implementation scientists must work with communities and embrace the diverse experiences that both drive and shape implementation efforts.

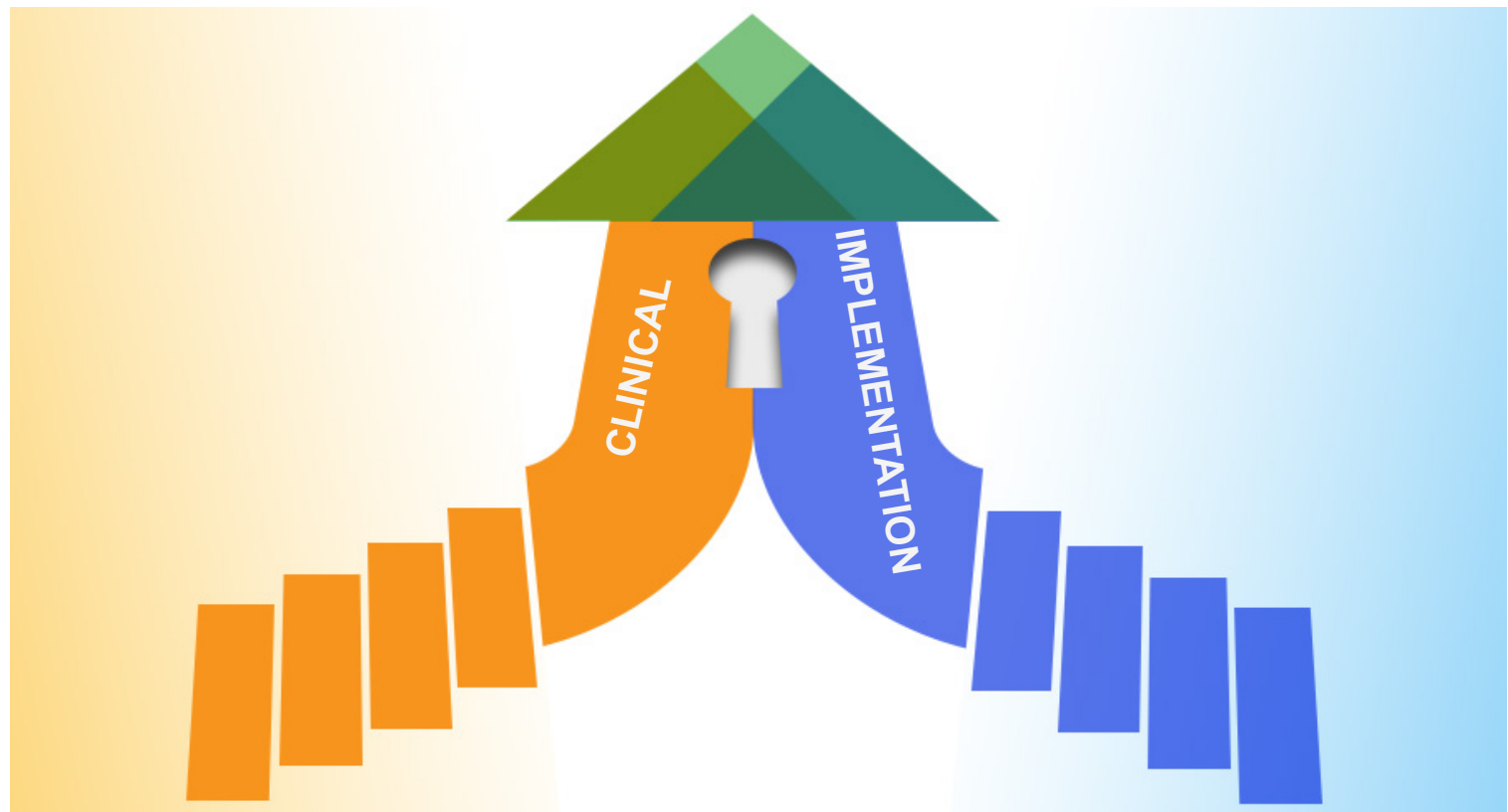
This requires competent implementation practice and an explicit commitment to equity.

## Implementation Practice

The application of evidence emerging from implementation science by people (individuals and teams) with the skills and competencies to tailor implementation frameworks, strategies and approaches to different contexts and settings to meet the unique needs of communities and people and to improve outcomes.

**Intentional – Explicit - Structured**

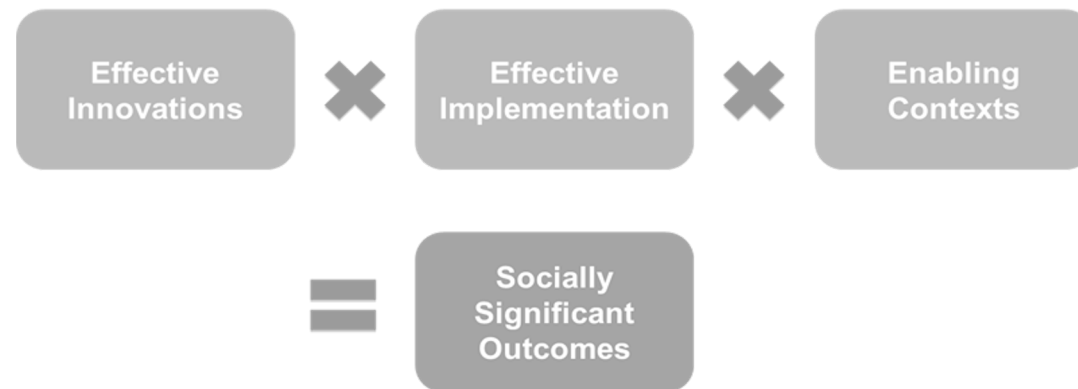
**Implementation involves (at least) two sets of evidence, methods, procedures, analyses, and outcomes**





# Implementation Success

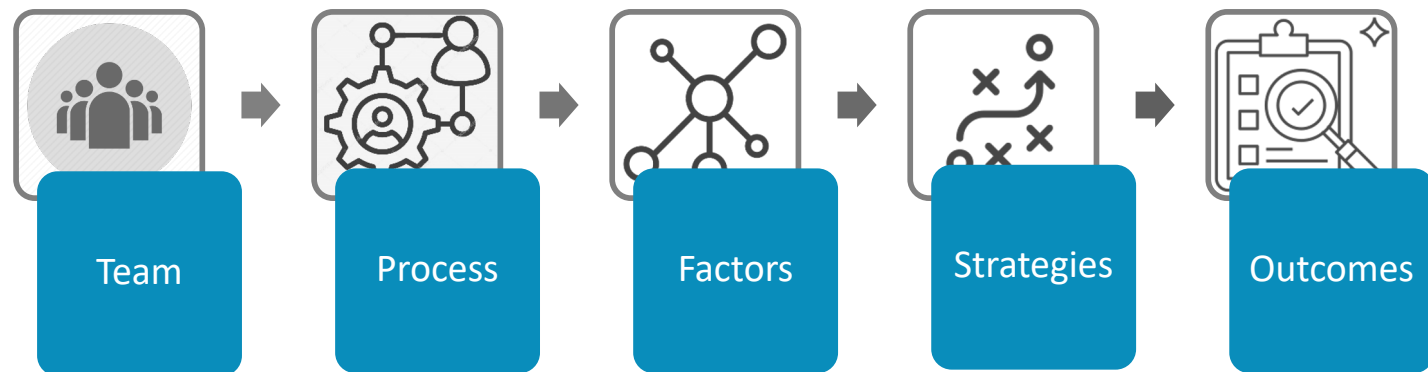
Implementation of evidence-based programs in typical human service settings can be characterized as a formula:



If any component is weak then intended outcomes will not be achieved, sustained, or used on a socially significant scale.

Source <http://nirn.fpg.unc.edu/learn-implementation/implementation-defined>

# Simplifying Implementation into Core Components©



CONTEXT

© M Barwick. (2019). The Implementation Game. Toronto, ON. The Hospital for Sick Children

# Implementation Kitchen Analogy<sup>©</sup>

## Cooking

- A kitchen
- The right tools (oven, sink)
- A cook with the right skills
- A recipe
- Ingredients & chemistry
- Adaptation to the recipe
- Evaluation of taste, nutrition

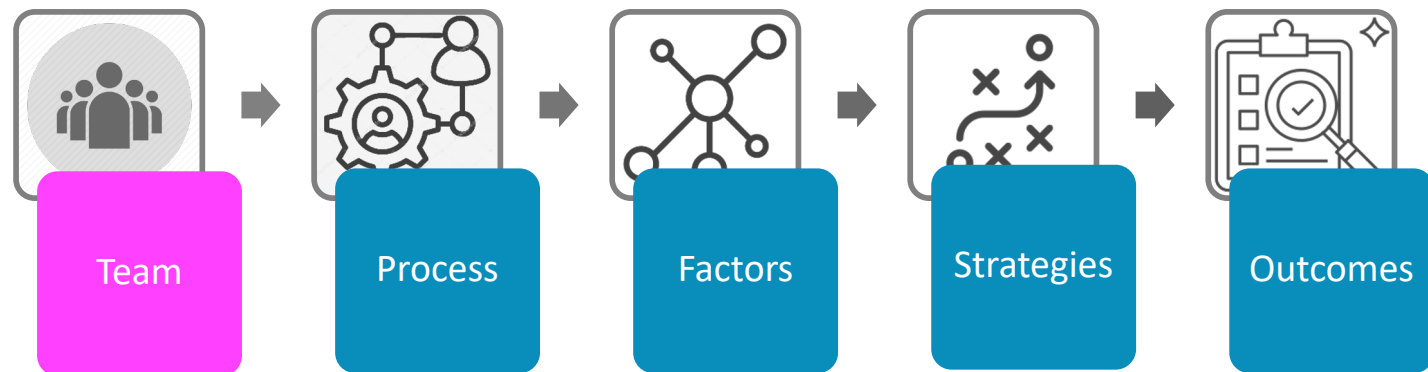
## Implementing

- A setting
- A receptive context
- Implementation team with the right skills
- A step-by-step implementation process
- Factors & mechanisms
- Adaptation (fidelity vs going rogue)
- Evaluation of outcomes (clinical/service, implementation)



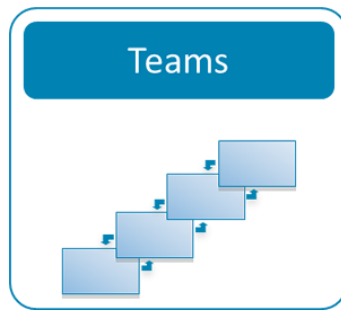
Core Components of Implementation Science & Practice<sup>©</sup>

# Core Components of Implementation



CONTEXT

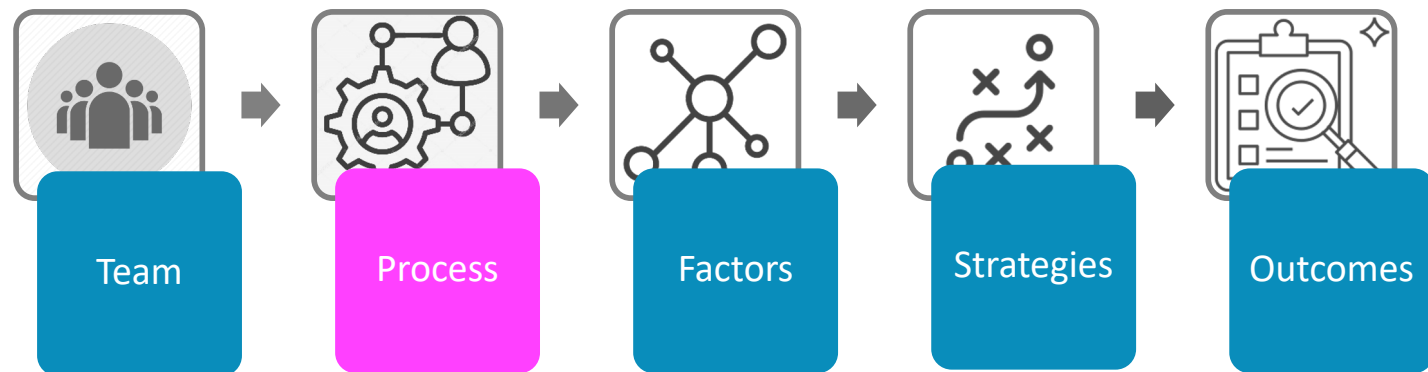
# Implementation Teams



- Implementation work is lead by purposefully selected *Implementation Teams*.
- Implementation Teams can be different:
  - EBP developers and purveyors
  - intermediary organizations that help others implement EBPs
  - developed on site with support from groups outside the organization or system, sometimes in the context of active research
- All compositions of Implementation Teams have implications for EBP sustainability.

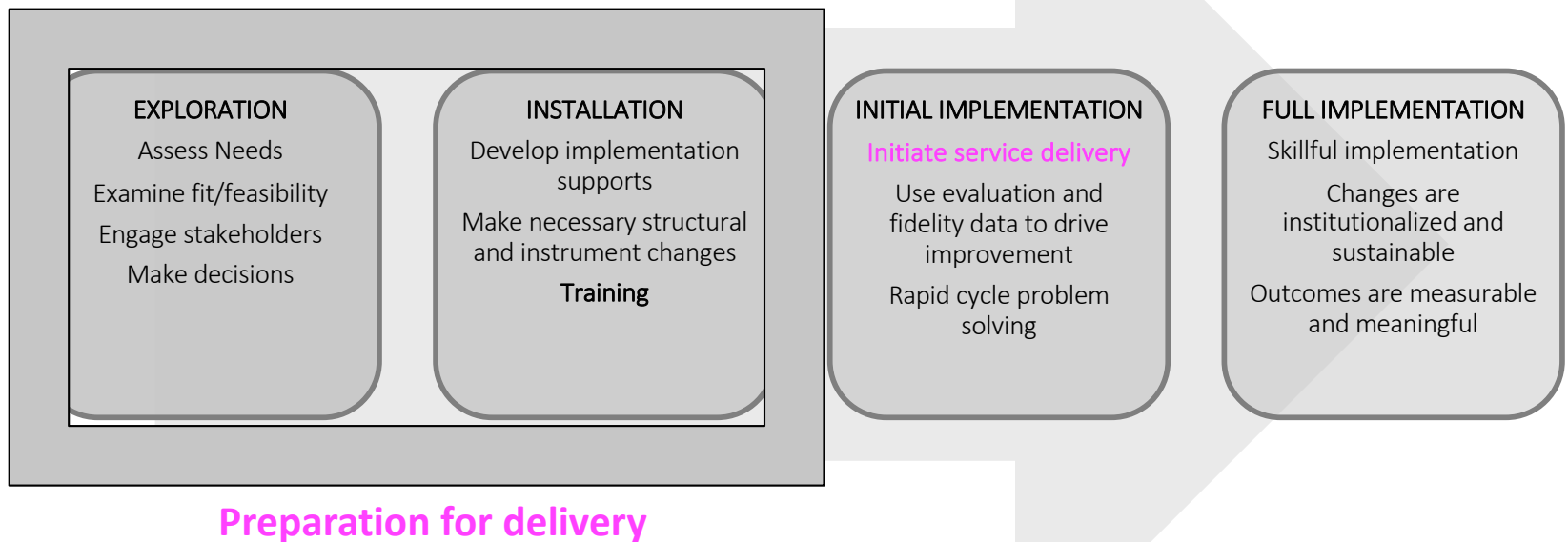
Fixsen, D.L., Naoom, S.F., Blase, K.A., Friedman, R.M., & Wallace, F. (2005). *Implementation Research: A Synthesis of the Literature*. University of South Florida, Louis de la Parte Florida Mental Health Institute, Tampa, FL, The National Implementation Research Network (FMHI Publication #231).

# Core Components of Implementation



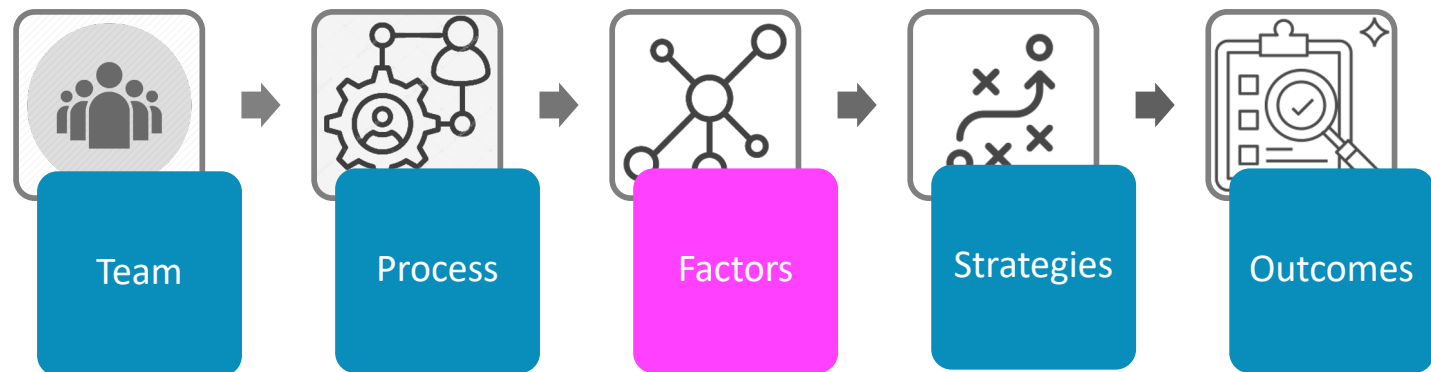
CONTEXT

# Implementation Stages



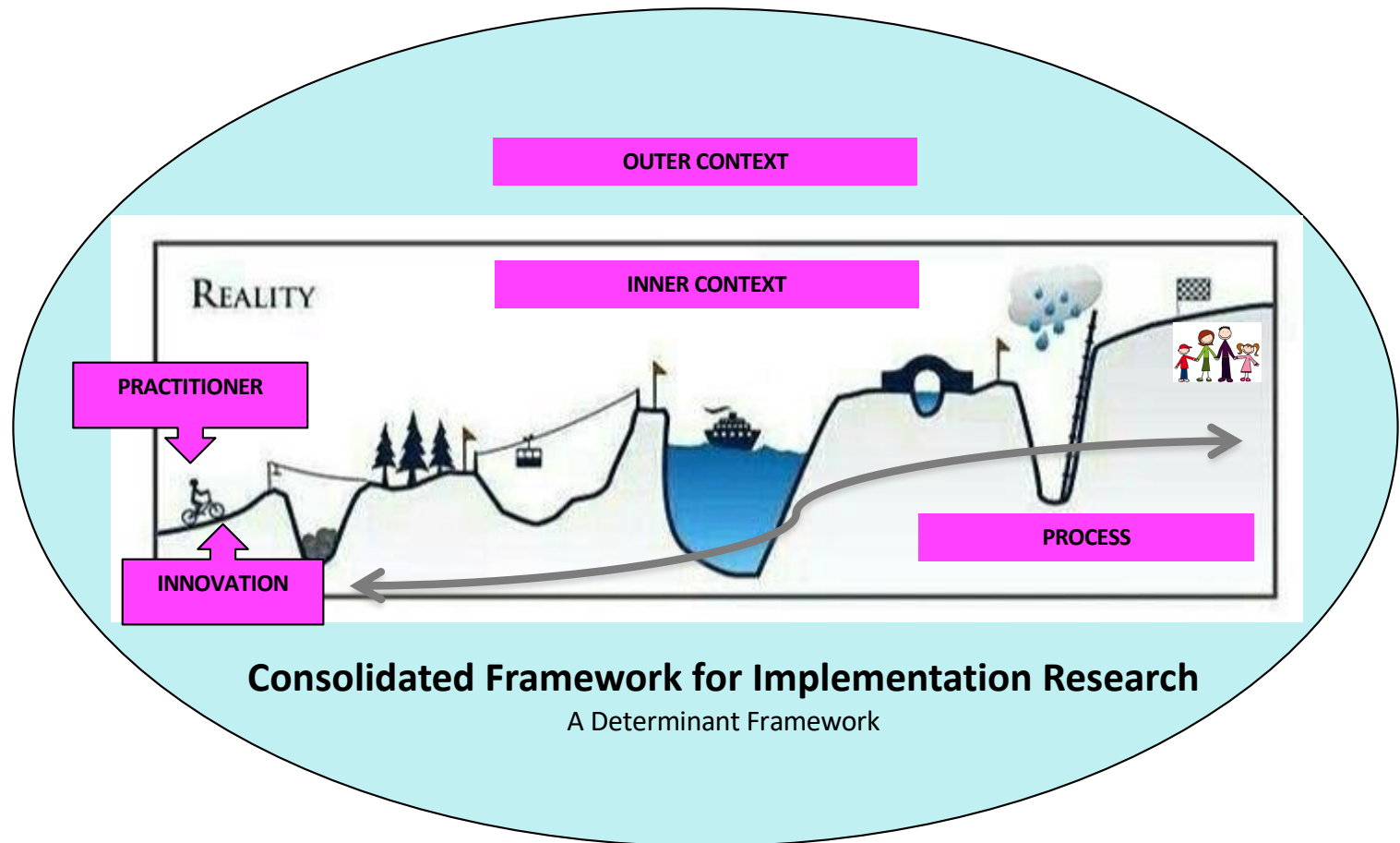
Fixsen, D.L., Naoom, S.F., Blase, K.A., Friedman, R.M., & Wallace, F. (2005). *Implementation Research: A Synthesis of the Literature*. University of South Florida, Louis de la Parte Florida Mental Health Institute, Tampa, FL, The National Implementation Research Network (FMHI Publication #231).

# Core Components of Implementation



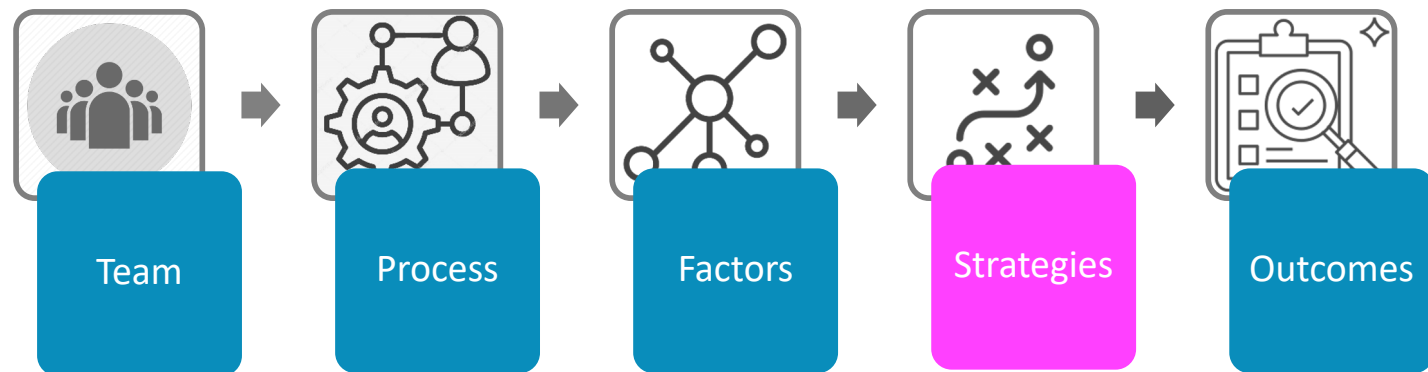
CONTEXT





SOURCE: Damschroder LJ, Aron DC, Keith RE, Kirsh SR, Alexander JA, Lowery JC. Implement Sci. 2009 Aug 7;4:50.

# Core Components of Implementation



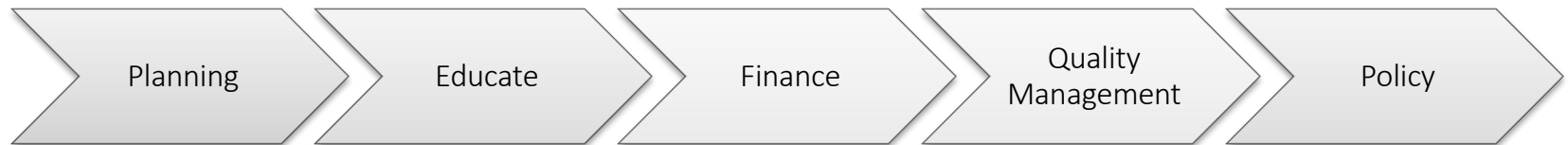
CONTEXT





# Implementation Strategies

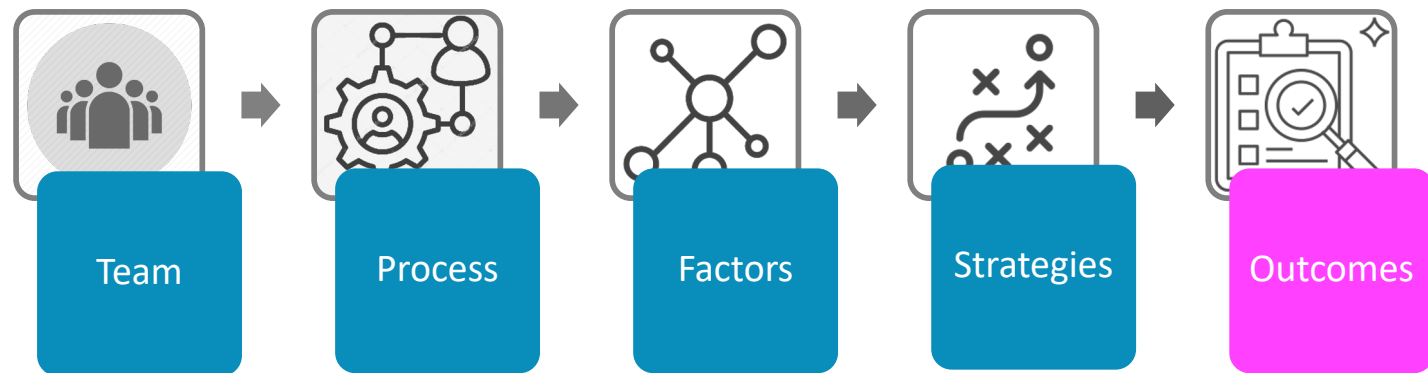
73 discrete implementation strategies can serve as building blocks for constructing multifaceted, multilevel implementation strategies for implementation efforts.



Powell BJ, Waltz TJ, Chinman MJ, Damschroder LJ, Smith JL, Matthieu MM, Proctor EK & Kirchner JE. (2015). A refined compilation of implementation strategies: results from the Expert Recommendations for Implementing Change (ERIC) project. *Implementation Science* 10:21.

Powell BJ, McMillen C, Proctor EK, Carpenter CR, Griffey RT, Bunker AC, Glass JE, & York JL. (2012). A compilation of strategies for implementing clinical innovations in health and mental health. *Medical Care Research and Review*, 69(2) 123–157.

# Core Components of Implementation



CONTEXT





# Implementation Outcomes

The effects of the implementation.

Distinguishing implementation effectiveness from treatment effectiveness is important because if the effort fails, we need to know if the failure occurred because the intervention was ineffective in the new setting (intervention failure), or if a good intervention was deployed incorrectly (implementation failure).

Source: Proctor et al., 2011

**Acceptability**  
**Adoption**  
**Appropriateness**  
**Cost**  
**Feasibility**  
**Fidelity**  
**Penetration**  
**Sustainability**

**Unless we focus on how groundbreaking interventions are implemented, discoveries developed in 2021 will not be routinely used in clinical practice until 2038...**

**...17 years from today**





# Where to learn more about dissemination & implementation

- Specialist Knowledge Translation Training (SKTT™)
- SKTT for Graduate Students
- SKTT Australia
- Knowledge Translation Professional Certificate (KTPC™)
- Tailored KT training for internal and external audiences
- eLearning modules on iLearn
  - Introduction to KT, How to Prepare a KT Plan
  - Plain Language Curriculum
- **Planning for Implementation Practice (PIP™)**
- Accessible at SickKids <http://www.sickkids.ca/Learning/AbouttheInstitute/Programs/Knowledge-Translation/index.html>





# SickKids KT Resources and Tools

- KT Planning Template – tool for building your KT plan.
- KT-Plan Appraisal Tool - use to appraise your KT plan.
- KTPC Casebook: Building KT Friendly Organizations in Healthcare and Beyond – learn how other organizations have built their KT programs.
- KT game – teaching & planning tool for building KT plans.
- KT Stories – learn about KT work across SickKids.
- Plain language Checklist – use to create PL communication.
- Planning for Implementation Practice Workshop – to support implementation planning
- The Implementation Game © - resource for learning and planning



KT Program Website: <https://www.sickkids.ca/learning/abouttheinstitute/programs/knowledge-translation/resources/resources.html>







# Melanie Barwick, PhD, CPsych



Senior Scientist, Child Evaluative Sciences Program  
SickKids Research Institute and the Centre for Global  
Child Health

Professor, Dept. of Psychiatry, Faculty of Medicine;  
Behavioural Sciences, DLSPH; and Institute for Health  
Policy, Management, and Evaluation, DLSPH.

Board Director for Children's Mental Health Ontario  
Associate Editor, Evidence and Policy

Web: [www.melaniebarwick.com](http://www.melaniebarwick.com)

Twitter: [@melaniebarwick](https://twitter.com/melaniebarwick)

**SickKids**<sup>®</sup>  
RESEARCH  
INSTITUTE

